

## FAQ about LEI

---

### Q1 What is JPX-LEI ?

#### A1

- JPX-LEI is a code to identify an entity or a fund that is a party of financial transactions (hereinafter referred to as "entity") and it is allocated based on an entity's application. JPX-LEI structure is defined by ISO 17442.

### Q2 Who requires JPX-LEI ?

#### A2

- Various countries' regulations oblige for entities to acquire LEI.
- JPX receives application in Japanese or roman alphabet characters.

### Q3 Who can apply for JPX-LEI?

#### A3

- JPX, as a rule, accepts LEI application from an entity that wishes to be allocated a LEI (Self-registration). In addition, an application may be made by authorized agent. (Agent application).

### Q4 How long does it take to receive JPX-LEI ?

#### A4

- Applications are processed in the order that they are received. A minimum of 5 business days is required to issue the LEI after applying with complete documents. If the application details or attached documents are incomplete, additional days will be required, so please apply well in advance.

### Q5 How will I be notified of my registered JPX-LEI ?

#### A5

- When application is approved, the notification email will be sent to the applicant's email address.

### Q6 What is the cost ?

#### A6

- LEI Allocation Fee is JPY 7,000 and Annual Renewal Fee is JPY5,400.

### Q7 What is the renewal process ?

#### A7

- When registered contents have a change, please switch to the latest information. Annual Renewal Fee shall be charged once a year even there was no changes to registered information.